

Achieving A Hydrodynamically Equivalent Burning Plasma in Direct-Drive Laser Fusion

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Abstract

Focused laser light directed onto the surface of a small deuterium-tritium filled target implodes it, creating a hot and dense plasma producing copious fusion reactions. In order for the plasma to become self-sustaining and produce net energy, the heating of the plasma must first be dominated by the energy provided by the fusion reactions, a condition known as a burning plasma. For the first time in laser direct-drive fusion, we report that experiments on the 30-kJ OMEGA Laser system have demonstrated implosion qualities consistent with a burning plasma when [the hotspot is scaled up hydro-equivalently by 4.2x in size, which corresponds to the 2.15 MJ of energy available at the National Ignition Facility](#). These scaled implosions are expected to achieve $86 \pm 2\%$ of the Lawson parameter required for ignition and fusion energy output of up to 1.6 ± 0.3 MJ. These improvements in implosion quality were achieved by using a data-driven predictive modeling approach to optimize novel target designs that increased energy transfer efficiency. These results support direct-drive inertial confinement fusion as a credible approach for achieving thermonuclear ignition and net energy in laser fusion.

Introduction

Inertial confinement fusion (ICF)[1, 2] uses high power drivers such as lasers[3, 4], particle beams or pulsed power[5] to implode millimeter-scale payloads containing fusion fuels such as deuterium (D) and tritium (T) to high densities and temperatures, generating copious fusion reactions. The D+T fusion reaction produces a helium ion (alpha particle) with 3.5 MeV energy and a neutron with 14.03 MeV energy. The alpha particle carries about 20% of the fusion energy providing the main source of plasma self-heating. Laser ICF uses lasers as the driving energy source, either by directly illuminating the target (laser direct-drive (LDD))[4] or indirectly via x-rays generated by laser illumination of a high atomic number (Z) enclosure surrounding the target (laser indirect-drive (LID))[3].

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61 Direct-drive laser ICF payloads are typically spherical and consist of a
62 cryogenic D-T fuel layer surrounded by an ablator of moderate atomic num-
63 ber ($Z \sim 3$ to 7), such as a carbon-deuterium polymer, high density carbon
64 (HDC), or beryllium. The laser light is incident on the payload surface at
65 intensities $\sim 10^{15}$ W/cm², which ablates the surface of the shell and rapidly
66 accelerates (at $\sim 10^{15}$ m/s²) the remaining payload inward to a velocity
67 between 300 and 600 km/s. Eventually, the unablated fuel shell converges
68 by a factor of 10 to 30, greatly amplifying the pressure of the tenuous gas
69 in the interior to the point where the shell begins to decelerate. As it does
70 so, the shell acts as a piston on the interior gas, increasing its temperature
71 to a few keV. This drives mass ablation on the shell's interior as it comes
72 to a halt, forming a low density (30-100 g/cc) and high temperature (3 to 7
73 keV) hotspot, surrounded by a dense (100 to 1000 g/cc) and low temperature
74 (~ 200 eV) shell. The inertia of this shell is sufficient to confine the high
75 pressure (100 to 400 Gbar) hotspot for a sub-nanosecond duration over which
76 time fusion reactions can occur. If the appropriate conditions[6–10] are met in
77 the stagnated configuration, the alpha particles deposit their energy into the
78 hotspot (alpha-heating), leading to a runaway thermal instability known as
79 ignition that significantly amplifies the fusion energy output of the implosion.
80 A key milestone on the path towards ignition is the generation of a 'burning
81 plasma', in which the energy deposited into the hotspot by the alpha particles
82 exceeds the compression work done on the hotspot. The burning plasma state
83 heralds the transition of the fusion hotspot into a regime where the feedback
84 processes leading to ignition are dominant, and thus places a fusion experi-
85 ment in a region where rapid increases in energy output become possible.

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87 Demonstrating a burning plasma and ignition are important milestones
 88 on the path to the high gains ($G = E_{\text{fusion}}/E_{\text{driver}} \gg 1$) necessary for inertial
 89 fusion energy (IFE), with gains over 100 being a likely requirement for com-
 90 mercial viability. Achieving the burning plasma state and triggering ignition
 91 requires an efficient transfer of energy from the driver to the kinetic energy of
 92 the fuel (coupling efficiency $\eta = KE_{\text{fuel}}/E_{\text{driver}}$). The first **plasma with sig-**
 93 **nificant alpha heating** [11], a burning plasma [12, 13], and ignited plasma[14]
 94 were reported by researchers at the National Ignition Facility (NIF) using the
 95 LID approach to fusion. Due to the intermediate stage where laser light is
 96 converted to x-rays in LID, it has a lower η than LDD by 4 to 5x[10]. LID
 97 targets are also more complex than LDD targets, as LID targets require the
 98 fabrication of a metal (typically gold or other high atomic number metals)
 99 cylindrical enclosure in which the target must be precisely centered. For com-
 100 mercial IFE applications **where minimizing the cost of the driver and targets**
 101 **is of high importance**[15], the advantages of LDD make it a more attractive
 102 option for carbon-free energy production.

103

104 Although the 2.15 MJ NIF is unique in its ability to conduct implosions
 105 that can achieve significant alpha heating via LID[11–14] it is not capable
 106 of symmetric LDD DT-layered implosion experiments with its present con-
 107 figuration. These experiments are instead carried out on the 30-kJ OMEGA
 108 Laser System. Due to its significantly lower energy, **the fusion plasmas created**
 109 **on OMEGA are smaller (to maintain similar energy density, the size of the**
 110 **fusion plasma $R_{\text{fusion}} \sim E_{\text{laser}}^{1/3}$).** Consequently, the plasma size is smaller than
 111 **the mean-free-path of the alpha particles λ_{α} , and significant alpha heating**
 112 **cannot occur.** Therefore, to assess the progress in LDD on OMEGA, we need
 113 **to scale the results on OMEGA to the laser energies demonstrated at the**

114 NIF. While a variety of approaches of scaling to higher energy facilities have
115 been investigated, the approach used here is a minimal assumption theory
116 known as hydro-equivalent scaling[16–18].

117
118 Hydro-equivalent scaling assumes only that the hotspot conditions demon-
119 strated on OMEGA can be reproduced at larger scales so that any increase in
120 alpha heating is simply a result of the larger size of the implosion. Therefore,
121 in scaling OMEGA results up in size, the hotspot pressure and shell density
122 are kept constant, the hotspot size is increased, and the hotspot temperature
123 follows the Spitzer thermal-conduction size scaling. The result of the size
124 scaling is robust; the only new physics that needs to be considered is the
125 stopping of alpha particles which is determined by λ_α . The general agreement
126 of various stopping power models[19] and the success of the various alpha
127 heating models[6–10] in modeling the onset of the burning plasma and igni-
128 tion conditions at the NIF[11–14] suggest that models for λ_α are reasonably
129 accurate. The biggest uncertainties in hydro-equivalent scaling arise when
130 connecting the increase in size to the required increase in driver energy,
131 where hydro-equivalent scaling assumes that the coupling efficiency η is scale
132 invariant so that the incident laser energy required scales as the hotspot vol-
133 ume. A detailed discussion on the validity of hydro-equivalent scaling can be
134 found in the Methods section, but we stress that the hydro-equivalent scaling
135 theory used here does not assert that its results are achievable on the NIF as
136 presently configured.

138 LDD experiments carried out with cryogenic targets on the 30-kJ OMEGA
 139 laser have met several important milestones in recent years. The primary met-
 140 ric of progress in LDD is the increase in the generalized Lawson parameter
 141 $\chi_{no\alpha}$ as parametrized in [6, 17],

$$\chi_{no\alpha} = (\rho R)^{0.61} \left(\frac{0.12 Y_{16}}{M_{DT}^{stag}} \right)^{0.34}, \quad (1)$$

142 where ρR , Y_{16} and M_{DT}^{stag} are the areal density in g/cm² and yield in units
 143 of 10¹⁶ neutrons, the stagnated DT mass in mg at the time of peak neutron
 144 production respectively, and $\chi_{no\alpha} \gtrsim 0.8$ and 0.96 implies a burning plasma and
 145 ignition, respectively. Another metric to measure progress towards ignition is
 146 the yield amplification due to alpha heating,

$$\hat{Y}_{amp} = \frac{Y_{\alpha}}{Y_{no\alpha}}, \quad (2)$$

147 where Y_{α} is the fusion yield of the implosion, and $Y_{no\alpha}$ is the fusion
 148 yield for the same implosion if it did not have alpha heating, i.e. where the
 149 hotspot is heated only by compression work. \hat{Y}_{amp} can be determined in
 150 simulations by taking the ratio of yields from simulations with and without
 151 alpha heating physics enabled, and in experiments using surrogate implosions
 152 with substantially reduced or zero deuterium content[7]. \hat{Y}_{amp} and $\chi_{no\alpha}$ are
 153 closely related as shown in Ref. [9], and $\hat{Y}_{amp} \gtrsim 3.5$ and 15 to 25 implies a
 154 burning plasma[9] and ignition[8], respectively.

155

156 In 2016, LDD implosions demonstrated core conditions which when
 157 extrapolated to realizable NIF energies would be expected to have $\chi_{no\alpha} \sim 0.6$
 158 and its yield doubled by alpha heating[17, 20], and were expected to produce
 159 up to ~ 125 kJ of fusion energy. In 2019, a data-driven statistical approach
 160 was pioneered on OMEGA to enable predictive implosion design[18], which

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161 rapidly tripled the fusion yield on OMEGA without significantly compromis-
162 ing the areal density (ρR). These implosions were of lower convergence and
163 higher hydrodynamic stability, and therefore less demanding than previous
164 designs. When extrapolated to realizable NIF energies, these designs were
165 expected to have $\chi_{no\alpha} \sim 0.74$, resulting in an expected yield-amplification
166 due to alpha heating of ~ 3 and fusion energies of up to ~ 500 kJ. Subse-
167 quently, this approach was used alongside a novel low-mode symmetry[21] and
168 fuel purity[22] control framework to identify, quantify and mitigate physical
169 degradation mechanisms on OMEGA[23], leading to increased repeatability
170 and control of experiments. A detailed discussion of the Statistical Model
171 (SM) can be found in Ref. [24].

172

173 The current work, along with its companion paper[25] describe the next
174 milestones for LDD. Ref. [25] discusses how the energy transfer to the hotspot
175 plasma was optimized on OMEGA to achieve hotspot fuel gain, in which
176 the fusion energy exceeds the internal energy of the hotspot fuel, for the
177 first time in direct-drive cryogenic experiments. This work describes how,
178 for the first time in LDD, cryogenic experiments on OMEGA have achieved
179 core conditions that hydro-equivalently extrapolate to a burning plasma at
180 achievable incident laser energies. We first demonstrate that recent OMEGA
181 implosions [have achieved core conditions that reach a burning plasma when](#)
182 [scaled hydro-equivalently in size by at least a factor of \$3.9 \pm 0.10\$, which](#)
183 [requires a driver energy of at least \$1.7 \pm 0.13\$ MJ under hydro-equivalent](#)
184 [conditions. We then show that at the maximum realizable NIF energies of](#)
185 [2.15 MJ, these implosions hydro-equivalently increase in size by a factor of](#)
186 [4.2, and therefore extrapolate to a Lawson parameter of \$0.86 \pm 0.02\$ with](#)
187 [an extrapolated fusion energy output of up to \$1.6 \pm 0.3\$ MJ. We then show](#)

188 that these extrapolated conditions are well within the burning plasma region,
 189 describe the implosion design changes from Ref. [18] that enabled this result,
 190 and finish by describing the path towards hydro-equivalent ignition and high
 191 gains for laser direct-drive.

193 **Demonstration of A Scaled Burning Plasma**

194 A burning plasma state is achieved when the cumulative alpha heating of the
 195 hotspot up to the point of maximum fusion rate (E_α) exceeds the compression
 196 work done on the hotspot by the imploding shell up to that point, (E_{PdV})[9]
 197 so that the burning plasma parameter Q_α is given by

$$Q_\alpha = \frac{E_\alpha}{E_{\text{PdV}}}, \quad (3)$$

198 and $Q_\alpha > 1$ corresponds to a burning plasma. If the alpha particles deposit
 199 most of their energy inside the hotspot[9], then E_α can be readily obtained
 200 from the neutron measurements as

$$E_\alpha = 3.5 \text{ MeV} \times \int_0^{t_{\text{bang}}} \dot{n}_{DT}(t) dt \approx 3.5 \text{ MeV} \times \frac{1}{2} Y_{DT}, \quad (4)$$

201 where \dot{n}_{DT} is the DT fusion reaction rate, t_{bang} is the time of peak neu-
 202 tron production and Y_{DT} is the total yield from DT fusion reactions. In the
 203 presence of large spatial asymmetries and/or small hotspot areal densities
 204 ($\lesssim 0.2\text{g}/\text{cm}^2$), a large fraction of the alphas do not slow down inside the
 205 hotspot and E_α needs to account for the absorbed fraction of alpha particles,
 206 θ_α . Both θ_α and E_{PdV} cannot be measured directly from experiments and
 207 therefore, a number of alternative metrics have also been devised as proxies
 208 for Q_α via a combination of analytic theory and simulations. The metrics con-
 209 sidered here are summarized in Table 1 and include burning plasma threshold

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210 parameters derived by Hurricane et al[12, 26, 27], Christopherson et al[8], and
 211 Betti et al[9]. The metrics in Table 1 are then assessed via a Betti-Williams
 212 (BW) quasi-analytic, non-isobaric two-temperature model that is described
 213 in Ref. [25], as well as 1-D simulations[28] that are tightly constrained by a
 214 comprehensive suite of diagnostic measurements. The Betti and Christopher-
 215 son χ_α metrics were designed to remain valid even in the presence of large
 216 asymmetries; nevertheless, 2-D simulations[29] are used to verify this. Details
 217 on the reconstruction process can be found in the Methods section.

218

Table 1 The burning plasma metrics considered in this work. $Q_\alpha > 1$ and Christopherson’s metrics follow the methodology in [8]. Betti’s metrics can be found in [9]. The modified Hurricane metric is described in [12]. These metrics are assessed where applicable using 1-D analytic models and 1-D and 2-D simulations constrained by the suite of OMEGA diagnostics and hydro-equivalently scaled to 2.15 MJ of incident laser energy.

Metric	Condition
Q_α	$Q_\alpha > 1$
Christopherson χ_α	$\chi_\alpha > 1.1$
Christopherson F_α	$F_\alpha > 0.7$
Betti $\chi_{no\alpha}$	$\chi_{no\alpha} > 0.8$
Betti \dot{Y}_{amp}	$\dot{Y}_{amp} = Y_\alpha/Y_{no\alpha} > 3.5$
Hurricane H_α	$H_\alpha = 5.3 \times 10^{25} \rho R_{hs} \frac{\langle \sigma v \rangle}{T_i v_{imp}} > 1$

219 Figure 1 shows how implosions on OMEGA have increased performance
 220 from the best performers in Ref. [18] (orange circles) by increasing the energy
 221 coupling and transfer to the hotspot. The ultra-high velocity (~ 600 km/s)
 222 “Liner” implosions[25, 30] (magenta diamonds) focused on optimizing the
 223 fusion yield by maximizing the energy transferred to the hotspot at the cost of
 224 convergence, thereby reaching the highest fusion yield recorded on OMEGA.
 225 However, since these implosions have reduced convergence, they do not reach
 226 the highest pressures, $\chi_{no\alpha}$, and do not achieve a burning plasma when scaled
 227 hydro-equivalently in size by 4.2x. The “ χ -Optimization” (blue squares)

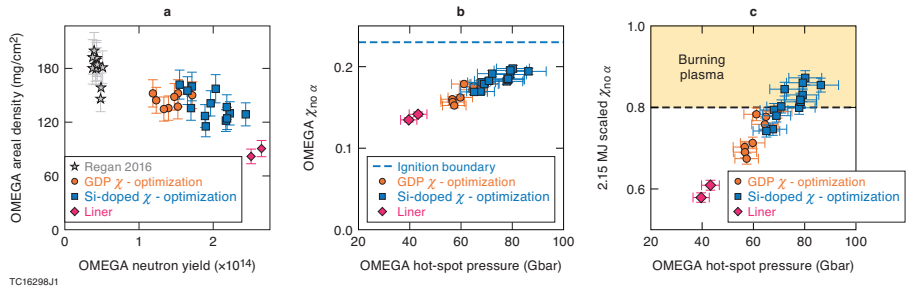


Fig. 1 Experimental results and 1-D model burning plasma metrics. a) Average measured neutron yields and areal densities for selected OMEGA high performance experiments, b) OMEGA pressures and $\chi_{no\alpha}$ for selected OMEGA high performance experiments, c) Pressures and $\chi_{no\alpha}$ for relevant OMEGA high performance experiments hydro-equivalently scaled to 2.15 MJ of incident driver energy. Grey stars are the experiments from Ref. [20] and orange circles from Ref. [18]. Magenta diamonds are the ultra-high (> 600 km/s) “Liner” implosions described in [25, 30] that were designed to maximize fusion yield, while the blue squares are the enhanced designs presented in this work designed to optimize $\chi_{no\alpha}$. Orange shaded regions in (c) correspond to a burning plasma according to the Betti $\chi_{no\alpha}$ metric. Inferred values come from the BW model described in Ref. [25] and the Methods section. Error bars are one standard deviation ranges representing (a) the precision, (b)-(c) the precision of the measurements propagated through the model. The enhanced designs presented in this work achieved higher neutron yields while maintaining areal densities by increasing laser coupling efficiency. This has increased both pressure and $\chi_{no\alpha}$ to their highest values on OMEGA. The BW model in (c) suggests that 6 high performance implosions now scale to a burning plasma after improvements in performance from Ref. [18].

228 instead focused on optimizing $\chi_{no\alpha}$ by increasing the energy coupling while
 229 maintaining a high target convergence and areal density, thereby maximizing
 230 $\chi_{no\alpha}$ and hotspot pressure to 0.195 ± 0.005 and 78 ± 7 Gbar respectively on
 231 OMEGA (Fig. 1b), which are their highest values to date. A schematic of
 232 the initial conditions of one of these implosions, Shot# 104949, is shown in
 233 Figure 2.

235 Hydro-equivalent Scaling With the BW Model

236 Scaling these higher convergence implosions hydro-equivalently to 2.15 MJ of
 237 incident driver energy using the BW model gives $\chi_{no\alpha} \approx 0.86 \pm 0.02$ for the
 238 improved implosions (Fig. 1c), satisfying the Betti $\chi_{no\alpha}$ criterion for burning
 239 plasmas. By construction, the Betti \hat{Y}_{amp} criterion is also satisfied. The BW
 240 model cannot self-consistently account for alpha heating effects since it is

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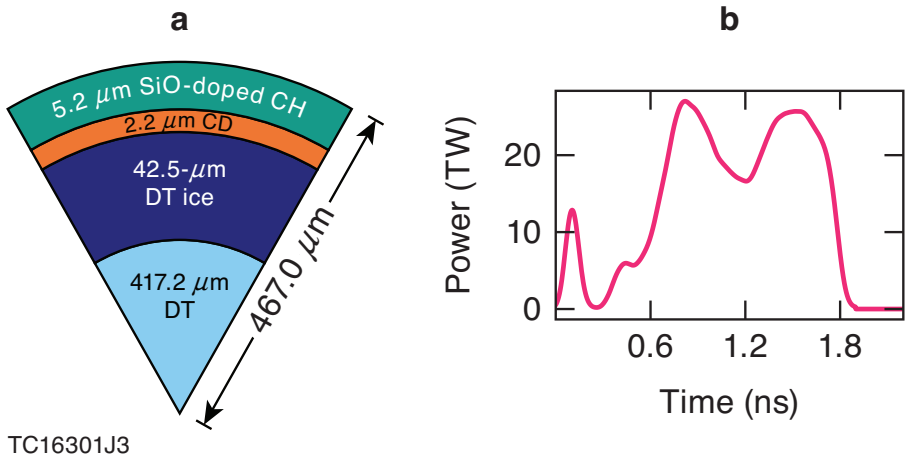


Fig. 2 Initial conditions for the best-performing OMEGA shot # 104949. a) A diagram of the target, with a large gas void in the center, a DT ice layer, an inner carbon-deuterium polymer ablator and an outer carbon-hydrogen polymer ablator doped with silicon and b) The laser power history over time.

241 scaled from implosions lacking alpha heating; consequently only the Betti

242 $\chi_{no\alpha}$ and \hat{Y}_{amp} criteria can be inferred using it.

243

Table 2 Experimental results from OMEGA shot # 104949, and a 1-D LILAC simulation degraded to broadly match core conditions. **Error bars represent one standard deviation.** The simulations closely match the experimental results, with simulated pressures and $\chi_{no\alpha}$ within the inference uncertainty.

Source	Y_{DT} (10^{14})	T_i (keV)	T_e (keV)	ρR (mg/cm^2) ^{σ} (ps)	X-ray R_{17} (μm)	t_{bang} (ps)	Pressure (Gbar)	$\chi_{no\alpha}$	
S# 104949	(2.1 ± 0.02)	4.6 ± 0.3	3.8 ± 0.1	160 ± 15	70 ± 5	27 ± 0.1	2000 ± 50	78 ± 7	0.195 ± 0.005
LILAC	2.3	4.6	3.6	150	72	27	2000	75	0.20

Hydro-Equivalent Scaling Using LILAC and DRACO

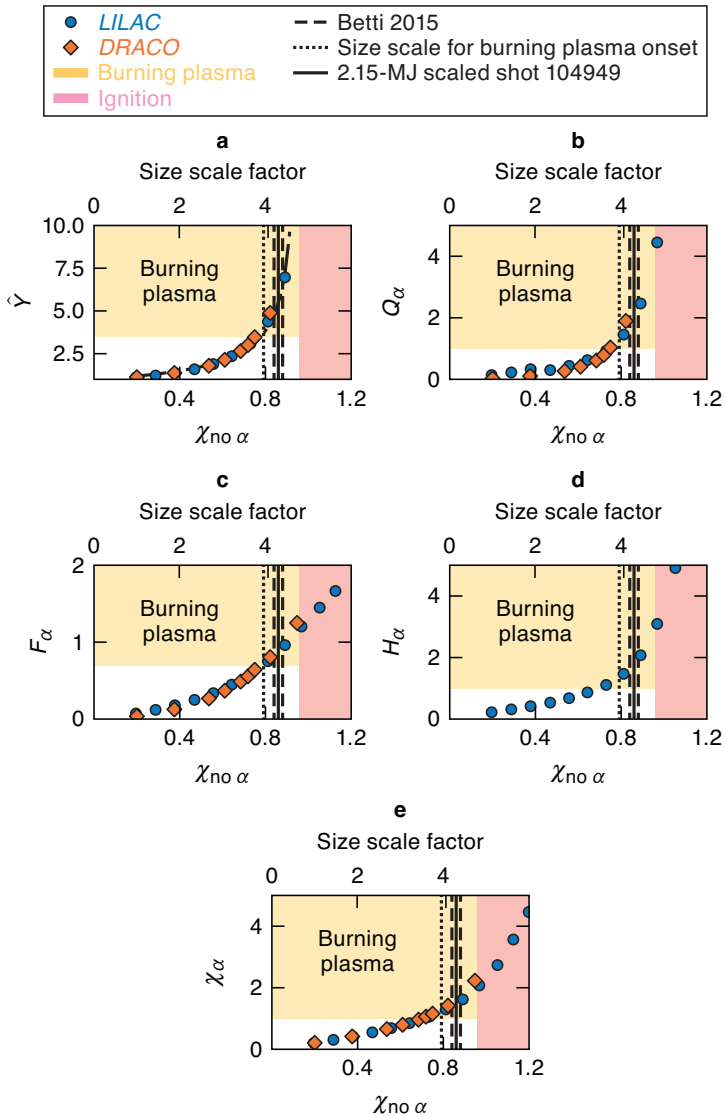
Simulations

For a more sophisticated and self-consistent analysis, we turn to 1-D LILAC[28] and 2-D DRACO simulations. We show results for one of the 6 experiments that exceed the Betti $\chi_{no\alpha}$ metric for burning plasmas in Figure 1c, #104949, in Table 2. The other experiments have similar designs and results, and as such the conclusions reached via this analysis will apply to them as well. The 1-D simulations are degraded by reducing energy coupling and increasing coasting to reproduce the ion temperature T_i , electron temperature T_e , neutron yield Y_{DT} , areal density ρR , burn width τ , hotspot size R_{17} and time of peak neutron production t_{bang} measured in experiments (Table 2). The 2-D simulations are instead degraded by adding 2-D asymmetry sources until the yield matches experiments ($Y_{2D}/Y_{1D} \approx 0.2$ to 0.4). Details on the reconstruction process can be found in the Methods section.

The simulations are then hydro-equivalently scaled up in laser energy with and without alpha heating to assess the metrics in Table 1 as a function of $\chi_{no\alpha}$. Figure 3 verifies that when the hotspot is 4.2x larger (corresponding to a hydro-scaled incident driver energy of 2.15 MJ), the best performing OMEGA implosions which have $\chi_{no\alpha} = 0.86 \pm 0.02$ pass all the burning plasma threshold metrics in Table 1, with the 2-D simulation results verifying that the relationship between $\chi_{no\alpha}$ and the other burning plasma metrics in Table 1 remain valid even in the presence of strong perturbations.

To assess the extrapolated fusion yield, we first use the LILAC simulations to assess the yield amplification due to alpha heating $\hat{Y}_{\text{amp}} = 5.8 \pm 0.7$ at

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Fig. 3 The burning plasma metrics (a) Betti \hat{Y}_{amp} , (b) Q_α , (c) Christopherson F_α , (d) Hurricane H_α and (e) Christopherson χ_α from Table 1 vs the Betti $\chi_{no\alpha}$ metric (lower axis) and size scaling factor (upper axis) for hydro-equivalently scaled 1-D LILAC (blue circles) and 2-D DRACO (magenta squares) simulations. The orange shaded region corresponds to a burning plasma for the displayed metric, while the red shaded region corresponds to ignition according to $\chi_{no\alpha} \approx 1$. The solid black line indicates the value of $\chi_{no\alpha} = 0.86 \pm 0.02$ for the best performing implosion 104949 scaled up hydro-equivalently by 4.2x in size, corresponding to 2.15 MJ of driver energy, while the dashed black lines indicate the one standard deviation uncertainty on this value. The dot-dashed black line in (a) shows the expected relation between $\chi_{no\alpha}$ and \hat{Y}_{amp} from Ref. [9]. The dotted vertical black line shows the minimize size scale of 3.9x at which the OMEGA experiments extrapolate to a burning plasma according to the Betti $\chi_{no\alpha}$ metric. The LILAC simulations are degraded to closely match 104949, while the DRACO simulations are degraded with 2-D asymmetries to have a similar yield degradation ($Y_{2D}/Y_{1D} \approx 0.2$ to 0.4) as experiments. A burning plasma is expected within uncertainty for the scaled conditions of 104949 according to all the burning plasma metrics, even if the hotspot were highly perturbed. At large values of $\chi_{no\alpha}$, the 2-D alpha-on metrics are higher than expected as alpha heating reduces the growth rate of instabilities[6].

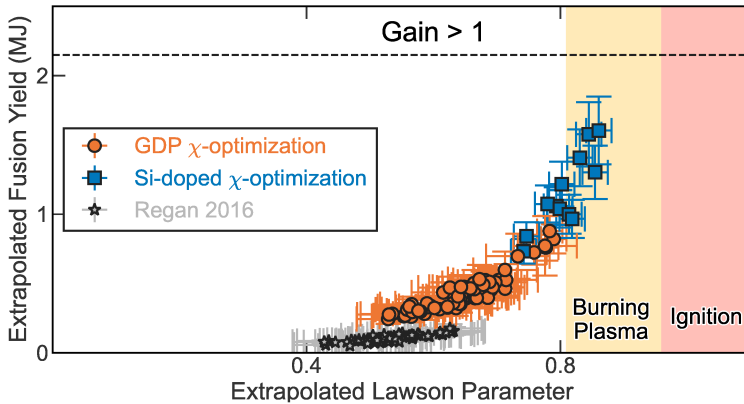


Fig. 4 Progress towards hydro-equivalent ignition for cryogenic direct-drive implosions on OMEGA from 2015 to present as measured by the extrapolated Lawson parameter from Eq. (1) inferred using the Betti-Williams model and extrapolated using the hydro-equivalent scaling relations of Refs. [16–18], and the corresponding increase in the extrapolated fusion energy at 2.15 MJ of incident laser energy. Orange circles and grey stars are the implosion series that culminated in those of Refs. [18] and [20] respectively, while blue squares are the implosions described in this work. Error bars are the one standard deviation range for the experimentally measured uncertainties propagated through the Betti-Williams model by Monte Carlo estimation. The yellow shaded region corresponds to a burning plasma, while the red shaded region corresponds to ignition according to Refs. [8, 9].

270 $\chi_{no\alpha} = 0.86 \pm 0.02$. We use LILAC to assess $\hat{Y}_{amp}(\chi_{no\alpha})$ rather than the ana-
 271 lytic relation from Ref. [9] as that relation has a singularity as $\chi_{no\alpha} \rightarrow 1$ and
 272 is therefore not expected to be accurate as $\chi_{no\alpha} \rightarrow 1$. Using hydro-equivalent
 273 scaling theory (see Table 3), the no-alpha yield of the experiments with a
 274 4.2x larger core is estimated as $Y_{DT}^{no\alpha} = (9.7 \pm 1.0) \times 10^{16}$ neutrons, and the
 275 extrapolated fusion yield is then calculated as $\hat{Y}_{amp} \times Y_{DT}^{no\alpha} = 1.6 \pm 0.3$ MJ
 276 at a hydro-scaled incident driver energy of 2.15 MJ. This represents a $\sim 2x$
 277 increase over the implosions of Ref. [18] extrapolated with the same increase
 278 in core size (Fig. 4). While this is short of expecting net energy, it is important
 279 to note that due to the proximity of the scaled implosions to the ignition cliff,
 280 even slight improvements in OMEGA performance will result in substantial
 281 increases in expected fusion energy, with gain expected when $\chi_{no\alpha} > 0.9$.

Design of the Highest Performance LDD

Experiments

In this section, we describe the design modifications that led to the substantial performance improvements described above. The performance of an LDD implosion is a strong function of the energy coupled to the payload[31]. Absorption of the laser driver in LDD experiments is substantially degraded by a cross-beam energy transfer (CBET)[32], which diverts energy away from the incoming laser beam into the outgoing rays. For LDD, the outgoing rays that primarily divert energy through CBET are those that refract around the target. In [18], CBET was mitigated by steadily increasing the initial size of the target relative to the beams and reducing the rays missing the target, though they still have a substantial reduction in laser absorption due to CBET (from $\sim 95\%$ to $\sim 75\%$). As explained in [22, 23] however, the size of the target cannot be increased indefinitely as the overlapped beams apply their illumination asymmetry onto the target and eventually drive perturbations that compromise it.

To continue increasing absorption and mitigating CBET, we increased the atomic number Z of the coronal plasma via addition of silicon dopant to the ablator, thereby enhancing collisional absorption. This reduces the intensity of the pump rays, and simultaneously increases the temperature of the coronal plasma, both of which reduce the CBET loss rate and increase absorption. Controlled experiments verified this hypothesis and found that the addition of Si-dopant to the ablator increased absorbed energy by $\sim 10\%$ for the designs of Ref. [18]. However, the higher Z of the coronal plasma also reduces conduction efficiency as noted in Ref. [32], increases the initial mass of the target, and increases radiative pre-heat of the payload. Partial mitigation of CBET

also allows access to higher drive intensities and increases hydrodynamic efficiency, but at the cost of increasing the vulnerability to perturbation growth due to the higher acceleration and in-flight aspect ratio (IFAR). Higher intensities are also expected to amplify the two-plasmon decay[33] (TPD) and stimulated Raman scattering[34] (SRS) instability, but the higher coronal temperature from enhanced absorption was expected to offset this[33].

Finding the optimal tradeoff between these factors with a limited number of experiments requires accurate predictive capabilities, which are provided by the approach from Ref. [18]. The Z of the corona is increased by adding 5 to 7% atomic fraction Si dopant to the ablator, and an additional layer of undoped plastic is inserted between the doped ablator and payload to reduce the effect of radiative preheat and conduction efficiency loss. The resulting changes in the design can be seen in Figure 5. As CBET mitigation is stronger, higher laser intensities can be coupled efficiently to the target. This leads to a higher drive pressure, which would increase the in-flight aspect ratio (IFAR) and increase vulnerability to perturbation growth. In response, the total mass was increased, keeping IFAR constant. The laser pulse is modified in a manner that keeps the coast time (i.e. the time between the end of the laser driven acceleration and when the shell begins to decelerate) minimized[35, 36]. Despite the increase in mass, the final implosion velocity of the Si-doped targets remains higher than the original design, leading to a substantial increase in yield ($\sim 30\%$). The various modifications to the design are guided by the SM to keep the yield degradation with respect to LILAC constant, allowing the gains made in simulations to be reflected in experiments. The increased coronal temperature also reduced the TPD threshold proximity parameter, which reduced hot-electron preheat [37] and allowed the areal density to

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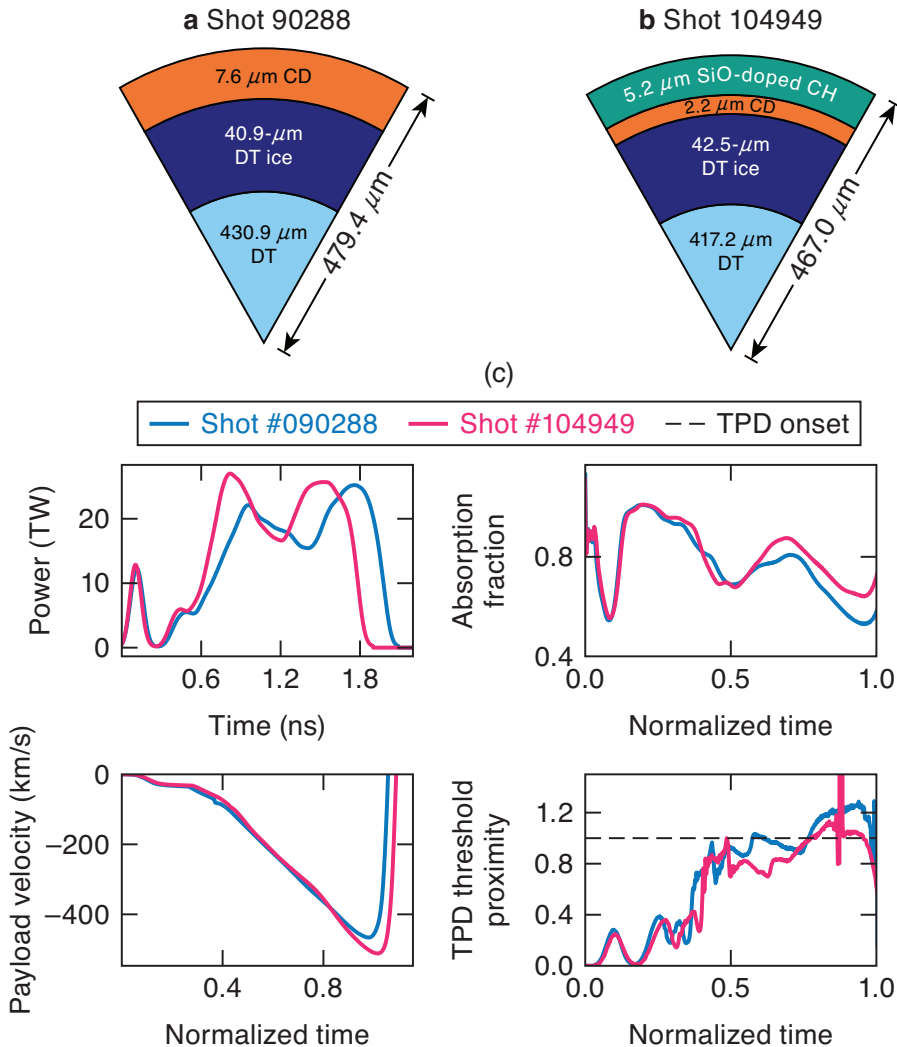
337 remain constant in experiments despite the increase in radiative preheat.

338
339 In conclusion we show that for the first time, laser direct-drive inertial
340 fusion implosions on OMEGA have achieved core conditions that are con-
341 sistent with a burning plasma [when hydro-equivalently scaled in size by](#)
342 [4.2x, corresponding](#) to the 2.15 MJ of laser energy accessible at the National
343 Ignition Facility. When extrapolated in such a manner, these implosions
344 are predicted to produce up to 1.6 ± 0.3 MJ of fusion energy and achieve a
345 normalized Lawson parameter of 0.86 ± 0.02 . The substantial increases in
346 performance that enabled this result were achieved by increasing the coupled
347 energy to the target by adding mid-Z dopants to the ablator and mitigating
348 loss mechanisms, and the resulting design tradeoffs were optimized by a pre-
349 dictive data-driven model. The search for this optimized design was greatly
350 aided by advances in low-mode symmetry[21] and fuel purity[22] control.

351
352 The next step for the LDD program on OMEGA is to achieve hydro-
353 equivalent gain and ignition. This will require increasing the extrapolated $\chi_{no\alpha}$
354 10% above current levels. As $\chi_{no\alpha} \rightarrow 0.96$, the yield amplification will sharply
355 increase (Fig. 3a), and the extrapolated implosions will likely reach an extrap-
356 olated gain > 1 before extrapolated ignition occurs (Fig. 6). Confidence in
357 the hydro-equivalent result will require more robust verification of the scal-
358 ing behavior of implosion experiments between OMEGA and NIF. A series
359 of direct-drive experimental campaigns are currently underway[33, 38, 39] to
360 characterize laser-plasma instabilities, energy coupling and hot electron pre-
361 heat at megajoule scale on the NIF to better understand scaling and quantify
362 deviations from hydro-equivalency. The most recent results from this effort in

363 Ref. [39] point towards only minor deviations from hydro-equivalence. Achiev-
364 ing hydro-equivalent ignition and expectations of multi-megajoule yields based
365 on OMEGA experiments will require some combination of an increase in the
366 OMEGA fusion yield of 50%, and an increase in the OMEGA areal density
367 of 20%. Upcoming experiments on OMEGA will attempt to achieve this by
368 subcooling the cryogenic layer to increase convergence independently of tar-
369 get entropy, and using the small-spot SG5-650 phase plates[40] to increase
370 laser intensity and ablation pressure above what is presently used for high
371 performance OMEGA implosions. However, even if hydro-equivalent ignition
372 is achieved on OMEGA, the current high performing designs will have an
373 extrapolated gain < 10 . Achieving higher gains in conventional LDD would be
374 aided by mitigation of laser-plasma instabilities to increase ablation pressure,
375 and reduction of the high mode imprint of the laser beams to stabilize high
376 convergence implosions. Advanced target designs[41, 42] and high bandwidth
377 solid-state[43] or excimer[44] laser systems provide a path towards mitigation
378 of CBET[45], TPD/SRS[46] and laser imprint [41–43], and are under active
379 investigation.

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Fig. 5 Design changes and corresponding effect on implosion dynamics from [18] and this work. a,b) The outer section of the pure CD ablator in (a) was replaced with a 5-7% silicon-doped CH ablator, and the ice thickness was slightly increased. (c) The pulse shape and various aspects of the simulation dynamics from LILAC post-shot simulations. Shot #90288 (the highest performer from [18]) is in blue, and shot #104949 is in magenta. The pulse shape is shortened and the intensity is increased from #90288. Due to the silicon in the outer ablator, CBET is mitigated, and the higher intensity first spike has higher absorption. This results in a substantially higher maximum velocity (from 466 to 510 km/s) for the silicon doped implosion, despite the larger mass of the ice and ablator layers. The increased temperature of the coronal plasma due to silicon also reduced the threshold parameter and activity of the two-plasmon decay (TPD).

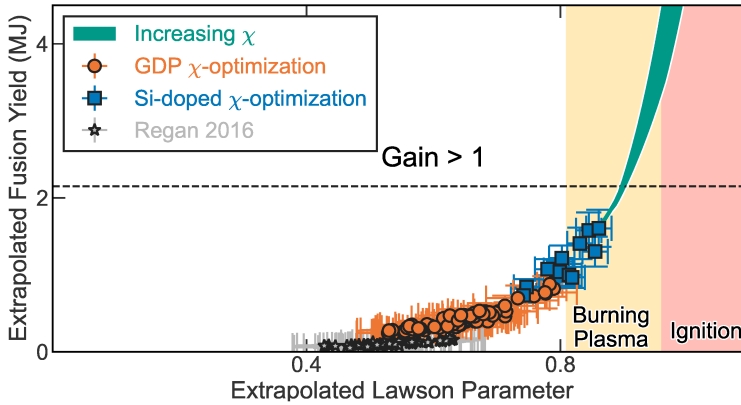


Fig. 6 Expected trajectory for planned future experiments on OMEGA if those experiments successfully increase the Lawson parameter, showing the extrapolated fusion yield is as a function of the extrapolated Lawson parameter from Eq. (1). The extrapolation is performed using the hydro-equivalent scaling relations in Table 3 at 2.15 MJ of incident laser energy. Blue squares are the implosions described in this work, orange circles are the experiments in Ref. [18] and grey stars are the experiments in Ref. [20]. Error bars are the one standard deviation range for the experimentally measured uncertainties propagated through the Betti-Williams model by Monte Carlo estimation. The green region is the path that future implosions that increase $\chi_{no\alpha}$ are expected to follow, with the upper and lower bounds given by implosions that improve $\chi_{no\alpha}$ only by increasing the yield and areal density respectively. The yellow shaded region corresponds to a burning plasma, while the red shaded region corresponds to ignition according to Refs. [8, 9]. When the extrapolated fusion energy exceeds 2.15 MJ (black dashed line), the implosion gain will exceed 1 and produce net energy. If $\chi_{no\alpha}$ can be increased by $\approx 5\%$ to above 0.9, implosions are expected to extrapolate to gain greater than unity, and if $\chi_{no\alpha}$ can be increased by $\approx 10\%$ to above 0.96, ignition and several megajoule yields are expected.

Methods

Hydro-Equivalent Scaling

The 2.15 MJ National Ignition Facility (NIF) is currently the sole facility in the world with the capability to implode targets which can achieve significant alpha heating. However, the laser configuration and target delivery systems presently available on the NIF make it incapable of carrying out symmetric LDD experiments. Instead, these experiments are performed at the 30-kJ OMEGA laser facility, which is the leading symmetric LDD experimental facility in the world. As the OMEGA laser has ~ 70 times less energy, implosions on OMEGA cannot achieve conditions in which significant alpha heating

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390 will occur, since the size of the fusion plasma R_{hs} is much smaller than the
 391 alpha particle mean-free-path λ_α . Therefore, we assess progress in LDD by
 392 scaling the observed implosions on OMEGA up in size, keeping intrinsic
 393 quantities such as hotspot pressure P_{hs} , implosion velocity or fuel entropy
 394 constant so that the increase in alpha heating at larger scales is only due to
 395 the increase in size leading to $R_{\text{hs}} > \lambda_\alpha$ and not in implosion quality. This is
 396 an established method known as hydro-equivalent scaling[16–18].

397

The increase in size can be connected to a required driver energy (E_{driver}),
 using the transfer efficiency η and hotspot energy E_{hs} , where

$$E_{\text{hs}} \sim R_{\text{hs}}^3 P_{\text{hs}} \sim \eta(E_{\text{driver}}) E_{\text{driver}}, \quad (5)$$

so the energy required to produce a hotspot with radius R_{hs} at a fixed pressure
 P_{hs} scales like

$$E_{\text{driver}} \sim \frac{R_{\text{hs}}^3}{\eta(E_{\text{driver}})}. \quad (6)$$

In hydro-equivalent scaling, we assume $\eta(E_{\text{driver}}) \equiv \eta$ does not vary with
 E_{driver} so that the required driver energy scales like

$$E_{\text{driver}} \sim R_{\text{hs}}^3. \quad (7)$$

398 For a given implosion design, there are a variety of 1-D physics effects
 399 which could affect the scaling of η with E_{driver} either negatively (e.g. CBET,
 400 TPD/SRS) or positively (collisional absorption[4], Knudsen-layer reactivity
 401 reduction[47] or barodiffusion[48]). There are also a number of 3-D per-
 402 turbation sources that affect η which are unique to OMEGA and are not
 403 intrinsic to LDD such as the restriction of ablator material to those which

are amenable to diffusion filling, the damage inflicted on the ablator due to the diffusion filling process, the large ($\sim 15\mu\text{m}$) mounting stalk, the 60-beam spherical geometry or the laser speckle pattern. Many of these engineering features are different on the NIF - for instance, the NIF uses a fill-tube filling process, which does not damage the ablator and allows for advanced ablator materials such as beryllium or HDC, but also has 192 beams arranged in a polar configuration. ■

411

Given the large range of possibilities, we choose to forego making any assumptions - positive or negative - on the scaling of η in favor of keeping it constant. Consequently, the results presented in this work are a statement about the quality of the implosions achieved on OMEGA, by assessing the performance of these implosions if the stagnated configurations were reproduced with identical quality at larger energy scales that are achievable at present. During the process of hydro-equivalent scaling to larger sizes, we require only that

- The hotspot energy E_{hs} of OMEGA implosions increases as the driver energy E_{driver} (i.e. there is no change in transfer efficiency)
- The hotspot size R_{hs} of OMEGA implosions increases as $E_{\text{hs}}^{1/3}$
- The pressure P_{hs} of OMEGA implosions remains constant with the driver energy E_{driver}

424

In Ref. [16, 17], hydro-equivalent scaling theory is used to derive the relationship between size or energy and the yield ($Y_{n\alpha\alpha}$), areal density ($\rho R_{n\alpha\alpha}$), and $\chi_{n\alpha\alpha}$. The scaling relations are all parametrized as

$$X_{\text{scaled}} = X_{\text{OMEGA}} \times \left(\frac{E_{\text{scaled}}}{E_{\text{OMEGA}}} \right)^\beta, \quad (8)$$

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$$= X_{\text{OMEGA}} \times \left(\frac{R_{\text{scaled}}}{R_{\text{OMEGA}}} \right)^{3\beta}, \quad (9)$$

425 where X is the observable of interest, E and R represent the energy or size
 426 at which the observable is either measured at OMEGA or inferred at some
 427 scaled energy, and β is the energy scale exponent for the observable. A list of
 428 the relevant β inferred by Ref.[17] are reproduced in Table 3.

429

430 To be certain that the results from Ref.[17] are applicable to the implosion
 431 dynamics in this work, we also hydro-equivalently scaled the 1-D LILAC and
 432 2-D DRACO reconstructions of 104949. To scale implosion simulations, we
 433 first run them at the OMEGA scale up to the point where the laser drive
 434 ends. At this point, the simulation is increased in size in a hydro-equivalent
 435 manner and continued at a variety of scales with and without alpha particle
 436 transport. Figure 8 shows that the simulations act as expected in the absence
 437 of alpha heating, following existing hydro-equivalent scaling theory in Ref.[17].
 438 A list of the relevant β inferred from the hydro-equivalently scaled LILAC
 439 simulations are also reported in Table 3.

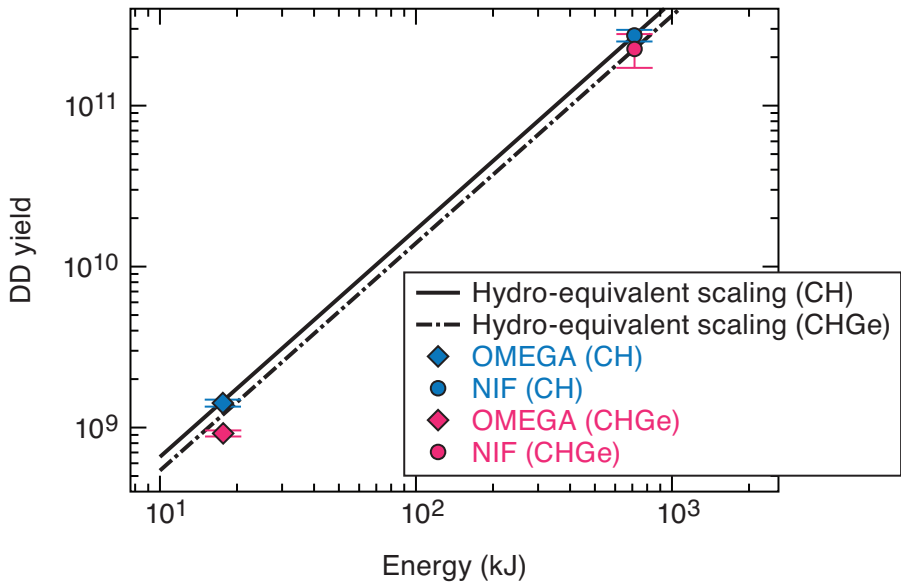
440

441 To explore the validity of hydro-equivalent scaling theory in experiments,
 442 there is a large, active research collaboration [33, 34, 38, 39] exploring laser-
 443 plasma interaction physics, and how they vary between OMEGA and NIF.
 444 In recent investigations into the scaling of hot-electron preheat, Rosenberg
 445 et al[39] compared implosion experiments on OMEGA and NIF, which were
 446 both driven with minimal beam smoothing and in a polar configuration. They
 447 show that the integrated effect of SRS at the NIF scale is similar to the inte-
 448 grated effect of TPD at the OMEGA scale (SRS is the dominant mechanism
 449 at NIF, and TPD at OMEGA), verifying that hot electron preheat (i.e. energy

deposited into the shell per unit mass) scales hydro-equivalently between OMEGA and NIF. Rosenberg et al also show measured in-flight trajectories from the x-ray self emission images of OMEGA and NIF implosions, and find that the implosion velocities are similar, with NIF implosions being slightly slower than OMEGA. The nuclear yield measurements from these implosions can also be used to calculate an experimental value for β to compare to the hydro-equivalent theory. These data are reported in Figure 7 and Table 3, and suggest that the yield increase as implosions are scaled up in energy from OMEGA to NIF is consistent with hydro-equivalent scaling theory. Nevertheless, many open questions remain on the details of scaling physics between OMEGA and NIF energies, and there is not yet a clear path to fielding high performance cryogenic implosions on the NIF due to the many differences in the target delivery and laser beam properties between NIF and OMEGA. However, these results provide experimental support for hydro-equivalent scaling as a reasonable extrapolation method from OMEGA to NIF energies.

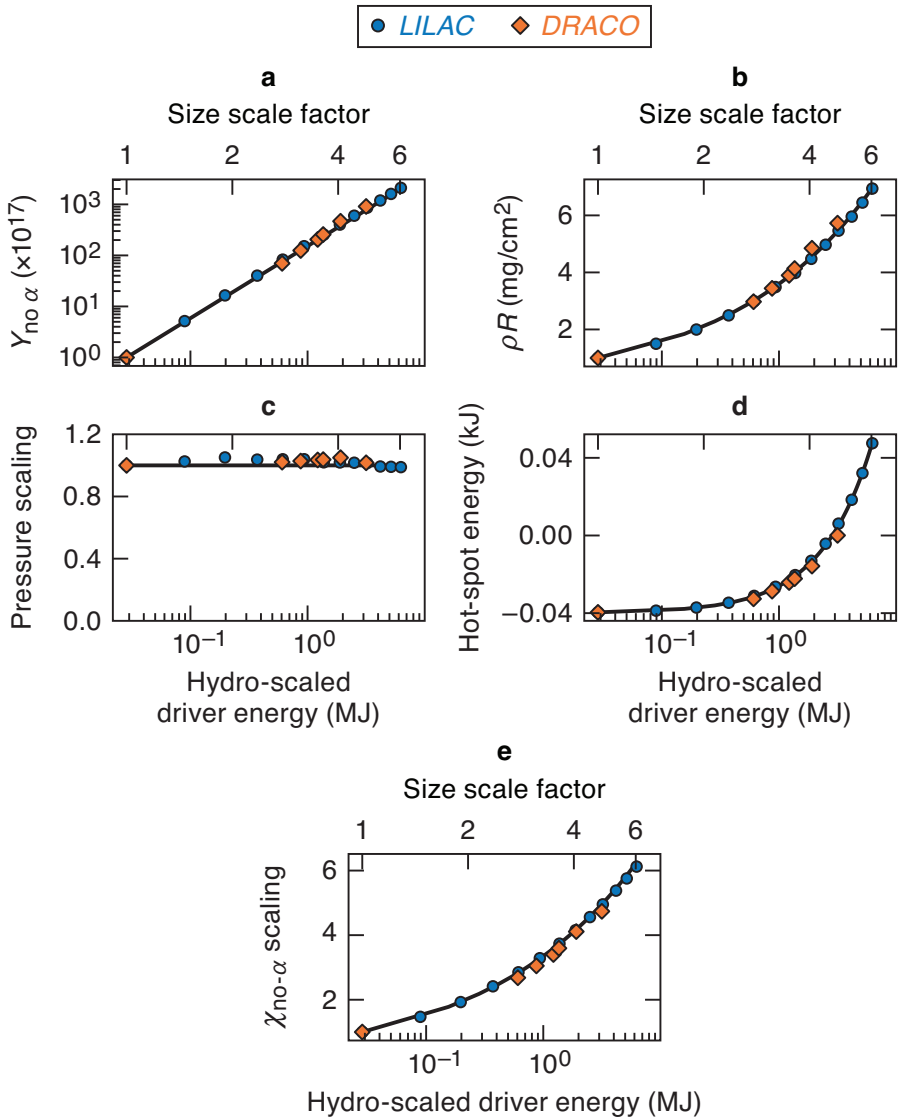
Table 3 Hydro-equivalent (HE) scaling coefficients β from Eq. 8 based on the scaled LILAC simulations in Fig. 8, Refs.[17, 18] and the experiments in Ref.[39] shown in Fig.7. The two simulation-based methods are in agreement with each other, and the yield scaling from the experiments of Ref.[39] are in good agreement with theory.

Observable	$Y_{no\alpha}$	ρR	P	E_{hs}	$\chi_{no\alpha}$
LILAC Reconstruction of 104949	1.42	0.36	0.00	1.00	0.34
Simulations of Refs.[17, 18]	1.43	0.34	0.00	1.00	0.34
Experiments of Ref.[39]	1.42 ± 0.02				



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Fig. 7 DD fusion yields from D2 gas-filled CH ablator implosion experiments on OMEGA (diamonds) and NIF (circles), with (blue) and without (magenta) a Ge-doped CH inner payload layer. The black lines shows the expected yield of the NIF implosions for a range of energies according to hydro-equivalent scaling theory for the CH (solid) and CHGe (dash-dotted). The displayed yields and error bars are the median and 1 standard deviation of all the yields of implosions taken for each case, and the diagnostic measured value and 1 standard deviation uncertainty if there was only one implosion for that case. There were 4 NIF CHGe, 3 OMEGA CHGe, 2 NIF CH and 1 OMEGA CH implosions. OMEGA experiments are performed with SSD turned off and using a polar illumination scheme[49] that mimics the illumination scheme of the NIF. When scaled down to OMEGA energies, the CH NIF implosions would be expected to produce $(1.42 \pm 0.12) \times 10^9$ neutrons, which is in good agreement with the $(1.42 \pm 0.07) \times 10^9$ neutrons measured on OMEGA. The CHGe NIF scaled down would be expected to produce $(1.2 \pm 0.3) \times 10^9$ neutrons, which is slightly higher than the OMEGA yields of $(8.4 \pm 0.8) \times 10^8$ neutrons. The ion temperatures for the NIF and OMEGA implosions are similar and roughly 2 keV. These implosion results support the validity of hydro-equivalent scaling of yields between OMEGA and NIF.



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Fig. 8 LILAC (blue circles) and DRACO (orange diamonds) no- α stagnation metrics for hydro-equivalently scaled implosions. a) DT Yield, b) ρR , c) Pressure, d) Hotspot Energy and e) $\chi_{no\alpha}$, all versus the hydro-equivalently scaled driver energy (lower axis) and size scale factor (upper axis). Note that both horizontal axes are logarithmic. The solid black line indicates the change in the stagnation metrics according to scaling theory in Refs. [17, 18] (Table 3). There is good agreement with scaling theory for all the key observables, indicating that the simulations have been correctly scaled, and that the scaling theory remains valid for the moderate adiabat (~ 5) implosions which are the best performers on OMEGA, even in the presence of substantial 2-D perturbations as expected from prior work[50].

466 Core Reconstruction

467 OMEGA Diagnostics

468 The stagnated core reconstruction process is tightly constrained by a com-
 469 prehensive suite of diagnostics available on OMEGA. Neutron yields, fusion
 470 plasma ion temperatures and fluid velocities[51] are measured via a suite of
 471 neutron-time-of-flight (nTOF) detectors placed around the OMEGA target
 472 chamber that measure DT and DD fusion reactions. Areal densities are mea-
 473 sured by nTOF backscatter[52] and a magnetic recoil spectrometer[53] (MRS)
 474 forward scatter diagnostic. Hotspot x-ray images[54, 55] are measured from
 475 the GMXI[56], TRXI[37], KB-FRAMED[57] and SRTe[55] diagnostics, which
 476 view the core from various lines of sight. The fusion burn duration and time of
 477 peak burn are measured by the Neutron Temporal Diagnostic (NTD). Finally,
 478 the electron temperature is measured by the SRTe diagnostic[55]. Due to
 479 excellent symmetry control on OMEGA[21], the best performing implosions
 480 considered in this work show marginal asymmetry signatures, with low varia-
 481 tion in the apparent ion temperatures measured from the width of the 14.03
 482 MeV neutron spectrum[23, 58] ($T_{i,\max}/T_{i,\min} = 1.08 \pm 0.1$) and areal densi-
 483 ties ($\rho R_{\max}/\rho R_{\min} = 1.0 \pm 0.1$) and low bulk flow velocities ($v_{\text{fluid}}/v_{\text{shell}} =$
 484 0.1 ± 0.1), as well as near-circular (Ellipse major-minor axis ratio $\sim 1.1 \pm 0.1$)
 485 x-ray images.

486 The quasi-analytic Betti-Williams Model

487 Calculating $\chi_{no\alpha}$ from Eq. 1 requires knowledge of the mass of the deuterium-
 488 tritium portion of the confining shell affected by the return shock. This
 489 quantity cannot be measured in an implosion, and must instead be inferred
 490 from other experimental measurements. This inference can be carried out using
 491 simulations or analytic models constrained by experiments. The companion

paper Ref. [25] describes a quasi-analytic, non-isobaric, two-temperature, static
 Betti-Williams model in detail; we provide a description here as well. This is
 similar to the approach used in Ref. [12], though the details differ due in large
 part to the differences in diagnostic capabilities between the NIF and OMEGA.
 Uncertainties in the model-estimated parameters are obtained by Monte-Carlo
 estimation, assuming the uncertainties on the experimental inputs are nor-
 mally distributed and independent. We begin by noting that the fusion yield
 is given by

$$Y_{DT} = \int n_D n_T \langle \sigma v \rangle dV dt, \quad (10)$$

where n_D , n_T and $\langle \sigma v \rangle$ are the deuterium and tritium number density and
 the Maxwell-averaged fusion reactivities of the DT fusion reaction respectively.
 These are averaged over space and time to obtain the fusion yield. Assuming
 an ideal gas, Eq. 10 can be rewritten as

$$Y_{DT} = \int P_i^2 f_D f_T \frac{\langle \sigma v \rangle}{T_i^2} dV dt, \quad (11)$$

where f_D , f_T , P_i and T_i are the deuterium and tritium number fractions,
 ion pressure and temperature, respectively. We then assume that the time
 dependence can be eliminated by assuming that the bulk of the fusion reactions
 occur over a short time scale compared to the hydrodynamic time scale so that

$$Y_{DT} = A\tau \int P_i^2 f_D f_T \frac{\langle \sigma v \rangle}{T_i^2} dV, \quad (12)$$

where τ is the full-width at half-maximum of the neutron production his-
 tory and A is a constant to be determined. The spatial dependence of Eq. 12
 is handled by assuming spherical symmetry and writing each spatially varying

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quantity $q(r)$ as

$$q(r) = q_0 \hat{q}(r), \quad (13)$$

508 where $\hat{q}(r)$ is a non-dimensional shape function and q_0 is the value at $r = 0$
 509 for the quantity q . Applying this to Eq. 12, we obtain

$$Y_{DT} = A\tau \frac{4\pi P_{i0}^2 \langle \sigma v \rangle_0}{T_{i0}^2} R_{\text{hs}}^3 I, \quad (14)$$

510 where I is a non-dimensional profile integral

$$I = \int_0^1 \hat{P}_i^2(\hat{r}) f_D(\hat{r}) f_T(\hat{r}) \frac{\langle \hat{\sigma v} \rangle(\hat{r})}{\hat{T}_i^2(\hat{r})} \hat{r}^2 d\hat{r}. \quad (15)$$

511 For the electronic contribution to pressure and energy, we assume the
 512 hotspot only consists of a fully ionized D-T plasma, so that

$$T_e(r) = T_i(r) \frac{\hat{P}_i}{\hat{P}_e} \frac{T_{i0}}{T_{e0}}. \quad (16)$$

513 If we did not make this correction and had assumed that $T_e = T_i$, on average
 514 this would increase pressures by 10 – 15%, since in reality $T_e < T_i \rightarrow P_e < P_i$,
 515 and since $P = P_e + P_i$, $P_{\text{BW}} < P_{\text{equilibrated}}$.

516 To solve this system, we need to specify the profile functions for electron
 517 and ion pressure and temperature. One choice for the profiles could be ana-
 518 lytic, e.g. the isobaric profiles from Ref.[31]. For improved accuracy, we use
 519 profiles from LILAC simulations of each implosion, instead of assuming that
 520 the pressure profile is flat (i.e. isobaric). This is important because the Mach
 521 number of the hotspot (implosion velocity / sound speed) $\gg 0$ and cannot be
 522 ignored for large ($V_i > 300$ km/s) implosion velocities. When the Mach num-
 523 ber is large in the hotspot, the hotspot pressure decreases monotonically from

its central value, and is reduced by 20-40% at the hotspot-shell boundary.

The constant A can be determined by rewriting Eq. 12 as

$$Y_{DT} = A\tau\dot{Y}_{DT}, \quad (17)$$

where \dot{Y}_{DT} is the peak neutron rate. The constant A then acts as a proportionality constant for the integral of the reaction rate over time - for instance, if the shape were purely Gaussian, $A = \sqrt{\pi/\ln(16)} \approx 1.06$. Since the reaction rate is slightly non-Gaussian in reality, we find A from LILAC simulations to be ≈ 1.1 . Finally, the hotspot radius R_{hs} can be determined from a number of x-ray imaging diagnostics which integrate over different x-ray energy ranges. In an ideal scenario, R_{hs} would instead be measured from neutron images as can be done at the NIF, but such a diagnostic is not presently available on OMEGA. Instead, to determine the optimal choice of x-ray energies we post-process LILAC simulations of all 350+ cryogenic implosions since 2014 with SPECT3D[59] to generate synthetic images of each real diagnostic, and find that using the highest energy ($\sim 18 - 20$ keV) x-ray image from the SRTe diagnostic provides self-consistent results when the Betti-Williams model is applied to these simulations. The use of high photon energy x-ray images as a proxy for neutron images is supported by observations on the NIF and OMEGA[60] that $E_\gamma \sim 15 - 20$ keV x-ray emission region is consistent with the spatial extent of the neutron emission. We choose the 17% contour of the images to remain consistent with previous work[8, 20], as well as finding that it both encloses 93 to 95% of the neutron producing region in high implosion velocity LILAC simulations, and has an acceptably low statistical uncertainty ($\sim 0.5 \mu\text{m}$ standard deviation) in experiments.

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549 Once the hotspot has been reconstructed using the Betti-Williams model,
 550 the hotspot mass and areal density can be evaluated by integrating the hotspot
 551 density

$$\rho_{\text{hs}}(r) = \frac{P_i(r)}{T_i(r)}, \quad (18)$$

$$\rho R_{\text{hs}} = \int \rho_{\text{hs}}(r) dr, \quad (19)$$

$$M_{\text{hs}} = \int \rho_{\text{hs}}(r) dV, \quad (20)$$

552 and comparing to the measured areal density to obtain the shell areal
 553 density and mass

$$\rho R_{\text{shell}} = \rho R - \rho R_{\text{hs}}, \quad (21)$$

$$M_{\text{shell}} = 4\pi R_{\text{hs}}^2 \rho R_{\text{shell}} \left(1 + \frac{1}{A_{\text{shell}}} + \frac{1}{3A_{\text{shell}}^2} \right), \quad (22)$$

554 where A_{shell} is the stagnation (i.e. at minimum radius) aspect ratio of the
 555 shell, estimated from LILAC so that the total mass is

$$M_{\text{stag}} = M_{\text{hs}} + M_{\text{shell}}. \quad (23)$$

556 We note that while the aspect ratio correction used here requires an input
 557 from a simulation and cannot be corroborated with experimental evidence,
 558 it only acts to increase M_{stag} and reduce $\chi_{\text{no}\alpha}$ compared to the analysis in
 559 Ref. [12], which is consistent with our analysis in the limit of infinite aspect
 560 ratio or a infinitesimally thin shell. LILAC stagnation aspect ratios are \sim
 561 2 – 4, and we use an uncertainty of ± 0.5 in the Monte-Carlo propagation of

uncertainties. This leads to an aspect-ratio correction factor that can increase M_{shell} by up to 60% for very low aspect ratio, thick shells.

Radiation-Hydrodynamic Simulations

1-D LILAC and 2-D DRACO simulations are run using CBET, nonlocal thermal transport, multi-group radiation transport and first-principles equation-of-state tables, as well as multigroup alpha particle transport for the alpha-on simulations. The as-shot pulse shape and target specifications are used to initialize the simulations. As the 1-D LILAC simulations cannot have asymmetries introduced, it is degraded by reducing absorption until its bang-time matches experiment, after which point it is degraded by increasing the coasting time. The 2-D DRACO simulations also have their absorption decreased until their bang-time matches experiments, but are then degraded by adding all known perturbation sources that can be modeled. This is insufficient to fully reconcile the observed yield degradation, so the laser imprint is artificially increased as a stand-in for the effects of defects in the ice and target until the yield degradation (Y_{2D}/Y_{1D}) matches the experiment (Y_{exp}/Y_{1D}). The simulations are postprocessed with SPECT3D and IRIS[61] to produce synthetic diagnostics which are compared to experiments.

The hotspot is defined as the region at the time of peak neutron production within the neutron R17 boundary, i.e. where neutron production

$$\dot{N} = n_D n_T \langle \sigma v \rangle \quad (24)$$

is greater than 17% of its peak value. In simulations, this choice of contour value is chosen to remain consistent with Ref. [8]. For other times, the fluid volume corresponding to this region is tracked backwards or forwards. This is

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586 trivial in LILAC, as it is a 1-D Lagrangian code. In 2-D DRACO simulations,
587 the non-convex R17 boundary is reconstructed using an alpha-shape method,
588 and is tracked via advection of boundary tracer particles with a predictor-
589 corrector method.

590 **Data Availability.** Raw data were generated at the OMEGA Laser Facil-
591 ity. Derived data supporting the findings of this study are available from the
592 corresponding author upon request, and with permission from the OMEGA
593 Laser Facility.

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